XY Planning Network

The XXXXXXXs Engagement Plan Overview

Areas Addressed

Organization	Visioning	The Foundation	Wealth Enhancement	Legacy Planning	Risk Management
✓ Client Dashboard ✓ Document Sharing ✓ Personal Information ✓ Net Worth	✓ Financial Independence ✓ Retirement ✓ Travel	✓ Cash Flow ✓ Income Tax Planning ✓ Savings Strategies ✓ Debt Management ✓ Values Based Spending Plan	✓ Risk Profile ✓ Long-Term Asset Allocation ✓ Real Estate Investing ✓ Transfer Accounts	✓ Estate Plan Document Review ✓ Direct Property Ownership ✓ Beneficiary Selection ✓ Liquidity Needs ✓ Charitable Planning	✓ Property & Casualty ✓ Life & Disability ✓ Personal & Professional Liability ✓ Long-term Care
Jul-21	Sep-21	Nov-21	Jan-22	Mar-22	May-22

Tools & Resources

- Client Financial Dashboard
- Meeting Summaries & Action Items
- Unlimited Access to Advice
- Coordination With Outside Advisors & Expert Financial Network
- Goal Tracking
- Ongoing Education & Accountability

Client Name

Detailed Engagement Plan

Organization / Data Gathering

MEETING
- ✓ Firm introduces you to Client Portal and Document Sharing
- ✓ You provide requested information and documents
- ✓ You link your online accounts to the client portal
- ✓ We will agree on an Engagement Plan

Jul-21

Goals

MEETING
- ✓ You complete Financial Life Planning exercises*
- ✓ Firm makes recommendations on:
  - Financial Independence (FI)
  - Short/Long-term Goals
  - Retirement Planning
  - Charitable

POST-MEETING
- ✓ Firm updates FI scenarios
- ✓ You consider FI scenarios and assumptions
- ✓ You update FI

Sep-21

Cash Flow

MEETING
- ✓ Firm makes recommendations on:
  - Cash Flow
  - Income Taxes
  - Savings Strategies
  - Major Purchase Decisions
  - Debt Management
  - Employee Benefits

POST-MEETING
- ✓ You update your bank account structure and savings plan
- ✓ You update debt and tax payments

Nov-21

Investments

MEETING
- ✓ You complete risk scoring exercise*
- ✓ Firm makes recommendations on:
  - Investments (incl. employer plans, e.g. 401(k))
  - Asset Allocation
  - Savings

POST-MEETING
- ✓ You authorize investment tranfers
- ✓ You sign Investment Policy Statement
- ✓ You reallocate 401(k)
- ✓ Firm transfers investments and begins investment

Jan-22

Asset / Income Protection

MEETING
- ✓ You consider insurance philosophy*
- ✓ Firm makes recommendations on:
  - Property & Casualty Ins
  - Life & Disability Ins
  - Business Ins
  - Business Structure & Succession
  - Long-term Care

POST-MEETING
- ✓ Firm shops your insurance coverage
- ✓ Firm coordinates insurance coverage changes with insurance

Mar-22

Estate Planning

MEETING
- ✓ You complete estate plan questionnaire*
- ✓ Firm reviews legal documents*
- ✓ Firm makes recommendations on:
  - Estate Plan Document updates
  - Beneficiary Selection
  - Living Trust
  - Estate Tax

POST-MEETING
- ✓ Firm coordinates estate plan changes with estate planning attorney

May-22